

Objectives or Goals

As the Raymond James Financial, Inc and Affiliates Employee Stock Ownership Plan, the plan's sole investment is in the common stock of Raymond James Corp., which is traded on the NYSE under the symbol: "RJF." The investment objectives of the ESOP in its ownership of Raymond James Financial stock are to provide long-term capital growth and dividend income. We believe the ESOP's ownership of Raymond James stock is a prudent and productive investment that is consistent with the investment objectives.

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